

WHISTLEBLOWER POLICY

Hopewell requires its employees, volunteers, and contractors to observe high standards of business and personal ethics when conducting their duties and responsibilities. In accordance with this whistleblower policy, all employees, volunteers, and contractors are strongly encouraged to report any activities or practices that may be illegal, could result in harm to Hopewell or its projects, or may be contrary to Hopewell's policies, including violations related to:

- Accounting controls and procedures
- Minor safeguarding
- Confidential or proprietary information
- Conflicts of interest
- Equal employment opportunity
- Fraud
- Harassment
- Legal compliance

No Retaliation

No employee who, in good faith, reports a violation shall suffer harassment, retaliation, or adverse employment consequences. An employee or contractor who retaliates against someone who has reported a violation in good faith is subject to disciplinary action, up to and including termination. This policy is intended to encourage and enable stakeholders to promptly raise serious concerns to Hopewell.

Reporting Violations

All leaders within Hopewell are directed to encourage employees, volunteers, or contractors to share their questions, concerns, suggestions, or complaints. In most cases, the project director is in the best position to address an area of concern for an employee, volunteer, or contractor. However, if an employee is not comfortable speaking with the project director or is not satisfied with the project director's response, the employee should speak with the head of human resources, an account manager, or anyone in a management position with whom the employee is comfortable approaching. All managers are required to report suspected violations to Hopewell's general counsel, who has specific and exclusive responsibility to investigate all reported violations. For suspected fraud, or when an employee, volunteer, or contractor is not satisfied or is uncomfortable with following Hopewell's open door policy, individuals should contact Hopewell's general counsel directly. For violations related to harassment or minor safeguarding, please also refer to the specific sections of this handbook related to harassment and minor safeguarding for additional guidance.

General Counsel

Hopewell's general counsel, or such other disinterested individual as is appointed by the general counsel, is responsible for investigating and resolving all reported complaints and allegations concerning violations and, at the general counsel's discretion, shall so advise Hopewell's president and board of directors. All claims should be directed to generalcounsel@hopewellfund.org.

Accounting and Auditing Matters

The general counsel shall address all reported concerns or complaints regarding Hopewell's accounting practices, internal controls, or auditing, and shall immediately notify the president and

board of directors of any such complaint and work with the appropriate parties until the matter is resolved.

Acting in Good Faith

Anyone who files a complaint concerning a violation or suspected violation must act in good faith, having reasonable grounds for believing the information disclosed indicates a violation.

Confidentiality

Violations or suspected violations may be submitted on a confidential basis by the complainant or may be submitted anonymously directly to:

Hopewell General Counsel
1828 L Street NW,
Suite 400-D,
Washington, DC 20036

Reports of violations or suspected violations will be kept confidential to the extent possible, consistent with the need to conduct a thorough and unbiased investigation.

Handling of Reported Violations

The general counsel will acknowledge receipt of the reported violation or suspected violation, generally within five business days. All reports will be promptly investigated, and appropriate corrective action will be taken if warranted by the investigation.